



33,383-00

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Appln. No. : 09/806,370 Confirmation No.: 8568

Applicant : Randall K. Holmes et al

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NOV 18 2003

Filed: October 3, 2001

TECH CENTER 1600/2900

TC/A.U. : 1645

Examiner : Portner

Customer No. : 38199

Title : MUTANT CHOLERA HOLOTOXIN AS AN
ADJUVANT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

SECOND SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Sir:

Applicants submit to the Examiner the attached Form PTO/SB/08A/B document listing and this paper pursuant to 37 CFR § 1.56 and § 1.97-1.98. Form PTO/SB/08A/B is attached and copies of the documents are enclosed herewith. This Information Disclosure Statement is submitted more than three months from the filing date of this application and after the receipt of a first Office Action. Therefore, the fee of \$180.00 is believed due.

The Director is hereby authorized to charge any deficiency in any fees due with the filing of this paper or credit any overpayment in any fees to our Deposit Account Number 08-3040.

EXPRESS MAIL NO. EU531574232US

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REMARKS

In addition to the documents cited in this Second Supplemental Information Disclosure Statement, Applicants have provided a copy of the Information Disclosure Statement filed on October 3, 2001 at the US Patent and Trademark Office by US Express Mail. For the Examiner's convenience, Applicants have provided copies of documents 1-3, 5, 6, 8-10, and 12-19. Applicants note that the Examiner cited documents 4, 7, and 11 in the Notice of References Cited forwarded with the First Office Action and have therefore omitted copies of these documents.

To support the timely filing of the Information Disclosure Statement as filed on October 3, 2001, Applicants have enclosed a copy of the stamped postcard indicating receipt of the Information Disclosure Statement and references cited therein by the US Patent and Trademark Office on October 3, 2001.

The month of publication for document AR could not be determined.

The Examiner is respectfully requested to consider the enclosed documents identified in this paper and the documents identified in the re-submitted Information Disclosure Statement filed on October 3, 2001 during the course of examination of this application.

Respectfully submitted,

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Attorneys for Applicants

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PTO/SB/08a (06-03)

Approved for use through 4/30/2003. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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Substitute for form 1449A/PTO				<i>RECEIVED</i> NOV 1 8 2003 TECH CENTER 1600/2900	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT				<i>NOV 1 8 2003</i>	
(Use as many sheets as necessary)					
Sheet	1	of	2	Attorney Docket Number	33,383-00

U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)			
		US-			

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Country Code ³ - Number ⁴ -Kind Code ⁵ (if known)				
AL	WO-92/19265		11-12-1992	AMGEN		

Examiner Signature		Date Considered
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* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 USC 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETE FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Substitute for form 1449B/PTO				Complete if Known	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT				Application Number	09/806,370
				Filing Date	September 30, 1999
				First Named Inventor	Holmes et al.
				Group Art Unit	1645
				Examiner Name	Portner
(Use as many sheets as necessary)				Attorney Docket Number	33,383-00
Sheet	2	of	2	RECEIVED NOV 1 8 2003 TECHGENIE 1600/200	

NONPATENT LITERATURE DOCUMENTS

Examiner Signature _____ Date _____ Considered _____

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